

General Assembly

February Session, 2020

## Raised Bill No. 5325

LCO No. **2195** 

Referred to Committee on COMMERCE

Introduced by: (CE)

## AN ACT ADOPTING THE REVISED UNIFORM LAW ON NOTARIAL ACTS.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

- 1 Section 1. (NEW) (*Effective October 1, 2020*) Sections 1 to 32, inclusive,
- of this act may be cited as the "Revised Uniform Law on Notarial Acts(2018)".
- 4 Sec. 2. (NEW) (*Effective October 1, 2020*) As used in sections 1 to 32, 5 inclusive, of this act:
- 6 (1) "Acknowledgment" means a declaration by an individual before a 7 notarial officer that the individual has signed a record for the purpose 8 stated in the record and, if the record is signed in a representative 9 capacity, that the individual signed the record with proper authority 10 and signed it as the act of the individual or entity identified in the 11 record.
- (2) "Electronic" means relating to technology having electrical, digital,
  magnetic, wireless, optical, electromagnetic or similar capabilities.

14 15 16	(3) "Electronic signature" means an electronic symbol, sound or process attached to or logically associated with a record and executed or adopted by an individual with the intent to sign the record.
17	(4) "In a representative capacity" means acting as:
18 19	(A) An authorized officer, agent, partner, trustee or other representative for a person other than an individual;
20 21	(B) A public officer, personal representative, guardian or other representative, in the capacity stated in a record;
22	(C) An agent or attorney-in-fact for a principal; or
23	(D) An authorized representative of another in any other capacity.
24 25 26 27 28 29	(5) "Notarial act" means an act, whether performed with respect to a tangible or electronic record, that a notarial officer may perform under the law of this state. "Notarial Act" includes taking an acknowledgment, administering an oath or affirmation, taking a verification on oath or affirmation, witnessing or attesting a signature, certifying or attesting a copy and noting a protest of a negotiable instrument.
30 31	(6) "Notarial officer" means a notary public or other individual authorized to perform a notarial act.
32 33	(7) "Notary public" means an individual appointed to perform a notarial act by the Secretary of the State.
34 35 36	(8) "Official stamp" means a physical image affixed to or embossed on a tangible record or an electronic image attached to or logically associated with an electronic record.
37 38 39	(9) "Person" means an individual, corporation, business trust, statutory trust, estate, trust, partnership, limited liability company, association, joint venture, public corporation, government or

- 40 governmental subdivision, agency or instrumentality or any other legal
- 41 or commercial entity.

42 (10) "Record" means information that is inscribed on a tangible 43 medium or that is stored in an electronic or other medium and is 44 retrievable in perceivable form. 45 (11) "Sign" means, with present intent to authenticate or adopt a 46 record: 47 (A) To execute or adopt a tangible symbol; or 48 (B) To attach to or logically associate with the record an electronic 49 symbol, sound or process. 50 (12) "Signature" means a tangible symbol or an electronic signature 51 that evidences the signing of a record. 52 (13) "Stamping device" means: 53 (A) A physical device capable of affixing to or embossing on a 54 tangible record an official stamp; or 55 (B) An electronic device or process capable of attaching to or logically 56 associating with an electronic record an official stamp. 57 (14) "State" means a state of the United States, the District of 58 Columbia, Puerto Rico, the United States Virgin Islands or any territory 59 or insular possession subject to the jurisdiction of the United States. 60 (15) "Verification on oath or affirmation" means a declaration, made by an individual on oath or affirmation before a notarial officer, that a 61 62 statement in a record is true. 63 Sec. 3. (NEW) (Effective October 1, 2020) Sections 1 to 32, inclusive, of 64 this act apply to a notarial act performed on or after October 1, 2020. 65 Sec. 4. (NEW) (Effective October 1, 2020) (a) A notarial officer may perform a notarial act authorized by sections 1 to 32, inclusive, of this 66 67 act or by any other provision of the general statutes. 68 (b) A notarial officer may not perform a notarial act with respect to a

69 record to which the officer or the officer's spouse is a party or in which

- either of them has a direct beneficial interest. A notarial act performedin violation of this subsection is voidable.
- (c) A notarial officer may certify that a tangible copy of an electronicrecord is an accurate copy of the electronic record.

Sec. 5. (NEW) (*Effective October 1, 2020*) (a) A notarial officer who takes an acknowledgment of a record shall determine, from personal knowledge or satisfactory evidence of the identity of the individual, that the individual appearing before the officer and making the acknowledgment has the identity claimed and that the signature on the record is the signature of the individual.

80 (b) A notarial officer who takes a verification of a statement on oath 81 or affirmation shall determine, from personal knowledge or satisfactory 82 evidence of the identity of the individual, that the individual appearing 83 before the officer and making the verification has the identity claimed 84 and that the signature on the statement verified is the signature of the 85 individual.

(c) A notarial officer who witnesses or attests to a signature shall
determine, from personal knowledge or satisfactory evidence of the
identity of the individual, that the individual appearing before the
officer and signing the record has the identity claimed.

90 (d) A notarial officer who certifies or attests a copy of a record or an
91 item that was copied shall determine that the copy is a full, true and
92 accurate transcription or reproduction of the record or item.

(e) A notarial officer who makes or notes a protest of a negotiable
instrument shall determine the matters set forth in subsection (b) of
section 42a-3-505 of the general statutes.

Sec. 6. (NEW) (*Effective October 1, 2020*) Except as provided in section
15 of this act, if a notarial act relates to a statement made in or a signature
executed on a record, the individual making the statement or executing

99 the signature shall appear personally before the notarial officer.

Sec. 7. (NEW) (*Effective October 1, 2020*) (a) A notarial officer has personal knowledge of the identity of an individual appearing before the officer if the individual is personally known to the officer through dealings sufficient to provide reasonable certainty that the individual has the identity claimed.

(b) A notarial officer has satisfactory evidence of the identity of an
individual appearing before the officer if the officer can identify the
individual:

(1) By means of: (A) A passport, driver's license or governmentissued nondriver identification card that is current or expired not more
than three years before performance of the notarial act; or

(B) Another form of government identification issued to an
individual that is current or expired not more than three years before
performance of the notarial act, contains the signature or a photograph
of the individual and is satisfactory to the officer; or

(2) By a verification on oath or affirmation of a credible witness
personally appearing before the notarial officer and known to such
officer or whom such officer can identify on the basis of a passport,
driver's license or government-issued nondriver identification card that
is current or expired not more than three years before performance of
the notarial act.

(c) A notarial officer may require an individual to provide additional
information or identification credentials necessary to assure the officer
of the identity of the individual.

Sec. 8. (NEW) (*Effective October 1, 2020*) (a) A notarial officer may
refuse to perform a notarial act if the officer is not satisfied that:

(1) The individual executing the record is competent or has thecapacity to execute the record; or

128	(2) The individual's signature is knowingly and voluntarily made.
129 130	(b) A notarial officer may refuse to perform a notarial act unless refusal is prohibited by any other provision of the general statutes.
131 132 133 134 135 136	Sec. 9. (NEW) ( <i>Effective October 1, 2020</i> ) If an individual is physically unable to sign a record, the individual may direct an individual other than the notarial officer to sign the individual's name on the record. The notarial officer shall insert the phrase "Signature affixed by (name of other individual) at the direction of (name of individual)" or words of similar import.
137 138	Sec. 10. (NEW) ( <i>Effective October 1, 2020</i> ) (a) A notarial act may be performed in this state by:
139	(1) A notary public of this state;
140 141	(2) A judge, clerk or deputy clerk of the Superior Court or a Probate Court of this state or a family support magistrate;
142	(3) An individual licensed to practice law in this state;
142 143	(3) An individual licensed to practice law in this state; (4) A town clerk;
143	(4) A town clerk;
143 144 145	<ul><li>(4) A town clerk;</li><li>(5) A justice of the peace; or</li><li>(6) Any other individual authorized to perform the specific act by the</li></ul>
143 144 145 146 147 148	<ul> <li>(4) A town clerk;</li> <li>(5) A justice of the peace; or</li> <li>(6) Any other individual authorized to perform the specific act by the law of this state.</li> <li>(b) The signature and title of an individual performing a notarial act in this state are prima facie evidence that the signature is genuine and</li> </ul>

performed by a notarial officer of this state, if the act performed in thatstate is performed by:

157 (1) A notary public of that state;

158 (2) A judge, clerk or deputy clerk of a court of that state; or

(3) Any other individual authorized by the law of that state toperform the notarial act.

(b) The signature and title of an individual performing a notarial act
in another state are prima facie evidence that the signature is genuine
and that the individual holds the designated title.

(c) The signature and title of a notarial officer described in
subdivision (1) or (2) of subsection (a) of this section conclusively
establish the authority of the officer to perform the notarial act.

167 Sec. 12. (NEW) (*Effective October 1, 2020*) (a) A notarial act performed 168 under the authority and in the jurisdiction of a federally recognized 169 Indian tribe has the same effect as if performed by a notarial officer of 170 this state if the act performed in the jurisdiction of the tribe is performed 171 by:

172 (1) A notary public of the tribe;

173 (2) A judge, clerk or deputy clerk of a court of the tribe; or

(3) Any other individual authorized by the law of the tribe to performthe notarial act.

(b) The signature and title of an individual performing a notarial act
under the authority of and in the jurisdiction of a federally recognized
Indian tribe are prima facie evidence that the signature is genuine and
that the individual holds the designated title.

(c) The signature and title of a notarial officer described in
subdivision (1) or (2) of subsection (a) of this section conclusively
establish the authority of the officer to perform the notarial act.

183 184	Sec. 13. (NEW) ( <i>Effective October 1, 2020</i> ) (a) A notarial act performed under federal law has the same effect under the law of this state as if
185	performed by a notarial officer of this state if the act performed under
186	federal law is performed by:
187	(1) A judge, clerk or deputy clerk of a court;
188	(2) An individual in military service or performing duties under the
189	authority of military service who is authorized to perform notarial acts
190	under federal law;
191	(3) An individual designated a notarizing officer by the United States
192	Department of State for performing notarial acts overseas; or
193	(4) Any other individual authorized by federal law to perform the
194	notarial act.
195	(b) The signature and title of an individual acting under federal
196	authority and performing a notarial act are prima facie evidence that the
197	signature is genuine and that the individual holds the designated title.
198	(c) The signature and title of an officer described in subdivision (1),
199	(2) or (3) of subsection (a) of this section conclusively establish the
200	authority of the officer to perform the notarial act.
201	Sec. 14. (NEW) (Effective October 1, 2020) (a) In this section, "foreign
202	state" means a government other than the United States, a state or a
203	federally recognized Indian tribe.
204	(b) If a notarial act is performed under authority and in the
205	jurisdiction of a foreign state or constituent unit of the foreign state or is
206	performed under the authority of a multinational or international
207	governmental organization, the act has the same effect under the law of
208	this state as if performed by a notarial officer of this state.
209	(c) If the title of office and indication of authority to perform notarial
210	acts in a foreign state appears in a digest of foreign law or in a list
211	customarily used as a source for that information, the authority of an

officer with that title to perform notarial acts is conclusively established.

(d) The signature and official stamp of an individual holding an office
described in subsection (c) of this section are prima facie evidence that
the signature is genuine and the individual holds the designated title.

(e) An apostille in the form prescribed by the Hague Convention of
October 5, 1961, and issued by a foreign state party to the Convention
conclusively establishes that the signature of the notarial officer is
genuine and that the officer holds the indicated office.

(f) A consular authentication issued by an individual designated by the United States Department of State as a notarizing officer for performing notarial acts overseas and attached to the record with respect to which the notarial act is performed conclusively establishes that the signature of the notarial officer is genuine and that the officer holds the indicated office.

226 Sec. 15. (NEW) (*Effective October 1, 2020*) (a) As used in this section:

(1) "Communication technology" means an electronic device orprocess that:

(A) Allows a notary public and a remotely located individual tocommunicate with each other simultaneously by sight and sound; and

(B) When necessary and consistent with other applicable law,
facilitates communication with a remotely located individual who has a
vision, hearing or speech impairment.

(2) "Foreign state" means a jurisdiction other than the United States,a state or a federally recognized Indian tribe.

(3) "Identity proofing" means a process or service by which a third
person provides a notary public with a means to verify the identity of a
remotely located individual by a review of personal information from
public or private data sources.

240 241	(4) "Outside the United States" means a location outside the geographic boundaries of the United States, Puerto Rico, the United		
242 243	States Virgin Islands and any territory, insular possession or other location subject to the jurisdiction of the United States.		
243	(5) "Remotely located individual" means an individual who is not in		
245 246	the physical presence of the notary public who performs a notarial act under subsection (c) of this section.		
247 248 249	(b) A remotely located individual may comply with section 6 of this act by using communication technology to appear before a notary public.		
250 251	(c) A notary public located in this state may perform a notarial act using communication technology for a remotely located individual if:		
252	(1) The notary public:		
253 254	(A) Has personal knowledge under subsection (a) of section 7 of this act of the identity of the individual;		
255 256 257 258	(B) Has satisfactory evidence of the identity of the remotely located individual by oath or affirmation from a credible witness appearing before the notary public under subsection (b) of section 7 of this act or this section; or		
259 260 261	(C) Has obtained satisfactory evidence of the identity of the remotely located individual by using at least two different types of identity proofing;		
262 263 264 265	(2) The notary public is reasonably able to confirm that a record before the notary public is the same record in which the remotely located individual made a statement or on which the individual executed a signature;		
266 267 268	(3) The notary public, or a person acting on behalf of the notary public, creates an audio-visual recording of the performance of the notarial act; and		

269 (4) For a remotely located individual located outside the United270 States:

271 (A) The record:

(i) Is to be filed with or relates to a matter before a public official or
court, governmental entity or other entity subject to the jurisdiction of
the United States; or

(ii) Involves property located in the territorial jurisdiction of the
United States or involves a transaction substantially connected with the
United States; and

(B) The act of making the statement or signing the record is notprohibited by the foreign state in which the remotely located individualis located.

(d) If a notarial act is performed under this section, the certificate of
notarial act required by section 16 of this act and the short-form
certificate provided in section 17 of this act shall indicate that the
notarial act was performed using communication technology.

(e) A short-form certificate provided in section 17 of this act for anotarial act subject to this section is sufficient if it:

(1) Complies with regulations adopted under subdivision (1) ofsubsection (h) of this section; or

(2) Is in the form provided in section 17 of this act and contains a
statement substantially as follows: "This notarial act involved the use of
communication technology."

(f) A notary public, a guardian, conservator or agent of a notary public or a personal representative of a deceased notary public shall retain the audio-visual recording created under subdivision (3) of subsection (c) of this section or cause the recording to be retained by a repository designated by or on behalf of the person required to retain the recording. Unless a different period is required by regulation adopted under subdivision (4) of subsection (h) of this section, the
recording shall be retained for a period of at least ten years after the
recording is made.

301 (g) Before a notary public performs the notary public's initial notarial 302 act under this section, the notary public shall notify the Secretary of the 303 State that the notary public will be performing notarial acts with respect 304 to remotely located individuals and identify the technologies the notary 305 public intends to use. If the Secretary of the State has established 306 standards under subsection (h) of this section and section 27 of this act 307 for approval of communication technology or identity proofing, the 308 communication technology and identity proofing shall conform to the 309 standards.

(h) In addition to adopting regulations under section 27 of this act,
the Secretary of the State may adopt regulations, in accordance with
chapter 54 of the general statutes, under this section regarding
performance of a notarial act. The regulations may:

(1) Prescribe the means of performing a notarial act involving aremotely located individual using communication technology;

316 (2) Establish standards for communication technology and identity317 proofing;

(3) Establish requirements or procedures to approve providers ofcommunication technology and the process of identity proofing; and

(4) Establish standards and a period for the retention of an audiovisual recording created under subdivision (3) of subsection (c) of this
section.

(i) Before adopting, amending or repealing a regulation governing
performance of a notarial act with respect to a remotely located
individual, the Secretary of the State shall consider:

326 (1) The most recent standards regarding the performance of a notarial327 act with respect to a remotely located individual promulgated by

national standard-setting organizations and the recommendations ofthe National Association of Secretaries of State;

330 (2) Standards, practices and customs of other jurisdictions that have331 laws substantially similar to this section; and

(3) The views of governmental officials and entities and otherinterested persons.

(j) By allowing its communication technology or identity proofing to facilitate a notarial act for a remotely located individual or by providing storage of the audio-visual recording created under subdivision (3) of subsection (c) of this section, the provider of the communication technology, identity proofing or storage appoints the Secretary of the State as the provider's agent for service of process in any civil action in this state related to the notarial act.

341 Sec. 16. (NEW) (*Effective October 1, 2020*) (a) A notarial act shall be 342 evidenced by a certificate that shall:

343 (1) Be executed contemporaneously with the performance of the344 notarial act;

345 (2) Be signed and dated by the notarial officer and, if the notarial
346 officer is a notary public, be signed in the same manner as on file with
347 the Secretary of the State;

348 (3) Identify the jurisdiction in which the notarial act is performed;

349 (4) Contain the title of office of the notarial officer; and

(5) If the notarial officer is a notary public, indicate the date ofexpiration, if any, of the officer's appointment.

352 (b) If a notarial act regarding a tangible record is performed by a 353 notary public, an official stamp shall be affixed to or embossed on the 354 certificate. If a notarial act is performed regarding a tangible record by 355 a notarial officer other than a notary public and the certificate contains

356	the information specified in subdivisions (2) to (4), inclusive, of		
357	subsection (a) of this section, an official stamp may be affixed to or		
358	embossed on the certificate. If a notarial act regarding an electronic		
359	record is performed by a notarial officer and the certificate contains the		
360	information specified in subdivisions (2) to (4), inclusive, of subsection		
361	(a) of this section, an official stamp may be attached to or logically		
362	associated with the certificate.		
363	(c) A certificate of a notarial act is sufficient if it meets the		
364	requirements of subsections (a) and (b) of this section and:		
365	(1) Is in a short form set forth in section 17 of this act;		
366	(2) Is in a form otherwise permitted by the law of this state;		
367	(3) Is in a form permitted by the law applicable in the jurisdiction in		
368	which the notarial act was performed; or		
369	(4) Sets forth the actions of the notarial officer and the actions are		
370	sufficient to meet the requirements of the notarial act as provided in		
371	sections 5 to 7, inclusive, of this act or any other provision of the general		
372	statutes.		
373	(d) By executing a certificate of a notarial act, a notarial officer		
374	certifies that the officer has complied with the requirements and made		
375	the determinations specified in sections 4 to 6, inclusive, of this act.		
376	(e) A notarial officer may not affix the officer's signature to, or		

376 (e) A notarial officer may not affix the officer's signature to, or
 377 logically associate it with, a certificate until the notarial act has been
 378 performed.

(f) If a notarial act is performed regarding a tangible record, a certificate shall be part of, or securely attached to, the record. If a notarial act is performed regarding an electronic record, the certificate shall be affixed to, or logically associated with, the electronic record. If the Secretary of the State has established standards pursuant to section 27 of this act for attaching, affixing or logically associating the certificate, the process shall conform to such standards.

Sec. 17. (NEW) (Effective October 1, 2020) The following short-form	
certificates of notarial acts are sufficient for the purposes indicated, if	
completed with the information required by subsections (a) and (b) of	
section 16 of this act:	
(1) For an acknowledgment in an individual capacity:	
State of	
County of	
This record was acknowledged before me on by	
	Date Name(s) of individual(s)
Signature of notarial officer	
Stamp	
Title of office	
My appointment expires:	
(2) For an acknowledgment in a rep	presentative capacity:
State of	
County of	
This record was acknowledged before	me on by
	Date Name(s) of individual(s)
as (type of authority, such as officer or trustee) of (name of party on behalf of whom record was executed).	
as (type of authority, such as officer of	Date Name(s) of individual(s)

Signature of notarial officer
Stamp
Title of office
My appointment expires:
(3) For a verification on oath or affirmation:
State of
County of
Signed and sworn to (or affirmed) before me on by
Date Name(s) of individual(s) making statement
Signature of notarial officer
Stamp
Title of office
My appointment expires:
(4) For witnessing or attesting a signature:
State of
County of
Signed (or attested) before me on by
Date Name(s) of individual(s)

431	
432	Signature of notarial officer
433	Stamp
434	
435	Title of office
436	My appointment expires:
437	(5) For certifying a copy of a record:
438	State of
439	County of
440 441	I certify that this is a true and correct copy of a record in the possession of
442	Dated
443	
444	Signature of notarial officer
445	Stamp
446	
447	Title of office
448	My appointment expires:
449 450	Sec. 18. (NEW) ( <i>Effective October 1, 2020</i> ) The official stamp of a notary public shall:
451 452 453	(1) Include the notary public's name, jurisdiction, appointment expiration date and other information required by the Secretary of the State; and

454 (2) Be capable of being copied together with the record to which it is455 affixed or attached or with which it is logically associated.

456 Sec. 19. (NEW) (Effective October 1, 2020) (a) A notary public is 457 responsible for the security of the notary public's stamping device and 458 may not allow another individual to use the device to perform a notarial 459 act. On resignation from, or the revocation or expiration of, the notary 460 public's appointment, or on the expiration of the date set forth in the 461 stamping device, if any, the notary public shall disable the stamping 462 device by destroying, defacing, damaging, erasing or securing it against use in a manner that renders it unusable. On the death or adjudication 463 464 of incompetency of a notary public, the notary public's personal 465 representative or guardian or any other person knowingly in possession 466 of the stamping device shall render it unusable by destroying, defacing, 467 damaging, erasing or securing it against use in a manner that renders it 468 unusable.

(b) If a notary public's stamping device is lost or stolen, the notary
public or the notary public's personal representative or guardian shall
notify promptly the Secretary of the State on discovering that the device
is lost or stolen.

Sec. 20. (NEW) (*Effective October 1, 2020*) (a) A notary public may select one or more tamper-evident technologies to perform notarial acts with respect to electronic records. A person may not require a notary public to perform a notarial act with respect to an electronic record with a technology that the notary public has not selected.

478 (b) Before a notary public performs the notary public's initial notarial 479 act with respect to an electronic record, a notary public shall notify the 480 Secretary of the State that the notary public will be performing notarial 481acts with respect to electronic records and identify the technology the 482 notary public intends to use. If the Secretary of the State has established 483 standards for approval of technology pursuant to section 27 of this act, 484 the technology shall conform to the standards. If the technology 485 conforms to the standards, the Secretary of the State shall approve the use of the technology.

(c) A recorder may accept for recording a tangible copy of an
electronic record containing a notarial certificate as satisfying any
requirement that a record accepted for recording be an original, if the
notarial officer executing the notarial certificate certifies that the
tangible copy is an accurate copy of the electronic record.

Sec. 21. (NEW) (*Effective October 1, 2020*) (a) An individual qualified
under subsection (b) of this section may apply to the Secretary of the
State for an appointment as a notary public. The applicant shall comply
with and provide the information required by regulations adopted by
the Secretary of the State and pay any application fee.

497 (b) An applicant for an appointment as a notary public shall:

498 (1) Be at least eighteen years of age;

499 (2) Be a citizen or permanent legal resident of the United States;

500 (3) Be a resident of or have a place of employment or practice in this501 state;

- 502 (4) Be able to read and write English;
- 503 (5) Not be disqualified to receive an appointment under section 23 of504 this act; and
- 505 (6) Have passed the examination required under subsection (a) of 506 section 22 of this act.

507 (c) Before issuance of an appointment as a notary public, an applicant
508 for the appointment shall execute an oath of office and submit it to the
509 Secretary of the State.

(d) On compliance with this section, the Secretary of the State shall
issue an appointment as a notary public to an applicant for a term of five
years.

(e) An appointment to act as a notary public authorizes the notary
public to perform notarial acts. The appointment does not provide the
notary public any immunity or benefit conferred by the law of this state
on public officials or employees.

517 Sec. 22. (NEW) (*Effective October 1, 2020*) (a) An applicant for an 518 appointment as a notary public who does not hold an appointment in 519 this state shall pass an examination administered by the Secretary of the 520 State or an entity approved by the Secretary of the State. The 521 examination shall be based on the course of study described in 522 subsection (b) of this section.

(b) The Secretary of the State or an entity approved by the Secretary
of the State shall offer regularly a course of study to applicants who do
not hold appointments as notaries public in this state. The course shall
cover the laws, regulations, procedures and ethics relevant to notarial
acts.

528 Sec. 23. (NEW) (*Effective October 1, 2020*) (a) The Secretary of the State 529 may deny, refuse to renew, revoke, suspend or impose a condition on 530 an appointment as notary public for any act or omission that 531 demonstrates the individual lacks the honesty, integrity, competence or 532 reliability to act as a notary public, including:

533 (1) Failure to comply with any provision of sections 1 to 32, inclusive,534 of this act;

535 (2) A fraudulent, dishonest or deceitful misstatement or omission in
536 the application for an appointment as a notary public submitted to the
537 Secretary of the State;

- (3) A conviction of the applicant or notary public of any felony or acrime involving fraud, dishonesty or deceit;
- (4) A finding against, or admission of liability by, the applicant or
  notary public in any legal proceeding or disciplinary action based on the
  applicant's or notary public's fraud, dishonesty or deceit;

543 (5) Failure by the notary public to discharge any duty required of a
544 notary public, whether by any provision of sections 1 to 32, inclusive, of
545 this act, regulations of the Secretary of the State or any federal or state
546 law;

(6) Use of false or misleading advertising or representation by the
notary public representing that the notary has a duty, right or privilege
that the notary does not have;

(7) Violation by the notary public of a regulation of the Secretary ofthe State regarding a notary public; or

(8) Denial, refusal to renew, revocation, suspension or conditioningof a notary public appointment in another state.

(b) If the Secretary of the State denies, refuses to renew, revokes,
suspends or imposes conditions on an appointment as a notary public,
the applicant or notary public is entitled to timely notice and hearing in
accordance with chapter 54 of the general statutes.

(c) The authority of the Secretary of the State to deny, refuse to renew,
suspend, revoke or impose conditions on an appointment as a notary
public does not prevent a person from seeking and obtaining other
criminal or civil remedies provided by law.

562 Sec. 24. (NEW) (*Effective October 1, 2020*) The Secretary of the State 563 shall maintain an electronic database of notaries public:

564 (1) Through which a person may verify the authority of a notary 565 public to perform notarial acts; and

(2) Which indicates whether a notary public has notified the Secretaryof the State that the notary public will be performing notarial acts onelectronic records.

569 Sec. 25. (NEW) (*Effective October 1, 2020*) (a) An appointment as a 570 notary public does not authorize an individual to: 571 (1) Assist persons in drafting legal records, give legal advice or 572 otherwise practice law;

573 (2) Act as an immigration consultant or an expert on immigration574 matters;

(3) Represent a person in a judicial or administrative proceeding
relating to immigration to the United States, United States citizenship or
related matters; or

578 (4) Receive compensation for performing any of the activities listed 579 in subdivisions (1) to (3), inclusive, of this subsection.

580 (b) A notary public may not engage in false or deceptive advertising.

(c) A notary public, other than an attorney licensed to practice law inthis state, may not use the term "notario" or "notario publico".

583 (d) A notary public, other than an attorney licensed to practice law in 584 this state, may not advertise or represent that the notary public may 585 assist persons in drafting legal records, give legal advice or otherwise 586 practice law. If a notary public who is not an attorney licensed to 587 practice law in this state in any manner advertises or represents that the 588 notary public offers notarial services, whether orally or in a record, 589 including broadcast media, print media and the Internet, the notary 590 public shall include the following statement, or an alternate statement 591 authorized or required by the Secretary of the State, in the 592 advertisement or representation, prominently and in each language 593 used in the advertisement or representation: "I am not an attorney 594 licensed to practice law in this state. I am not allowed to draft legal 595 records, give advice on legal matters, including immigration, or charge 596 a fee for those activities". If the form of advertisement or representation 597 is not broadcast media, print media or the Internet and does not permit 598 inclusion of the statement required by this subsection because of size, it 599 shall be displayed prominently or provided at the place of performance 600 of the notarial act before the notarial act is performed.

(e) Except as otherwise allowed by law, a notary public may not
withhold access to or possession of an original record provided by a
person that seeks performance of a notarial act by the notary public.

604 Sec. 26. (NEW) (Effective October 1, 2020) Except as otherwise 605 provided in subsection (b) of section 4 of this act, the failure of a notarial 606 officer to perform a duty or meet a requirement specified in sections 1 607 to 32, inclusive, of this act does not invalidate a notarial act performed 608 by the notarial officer. The validity of a notarial act under sections 1 to 609 32, inclusive, of this act does not prevent an aggrieved person from seeking to invalidate the record or transaction that is the subject of the 610 611 notarial act or from seeking other remedies based on any other provision 612 of the general statutes or the law of the United States. This section does 613 not validate a purported notarial act performed by an individual who 614 does not have the authority to perform notarial acts.

Sec. 27. (NEW) (*Effective October 1, 2020*) (a) The Secretary of the State may adopt regulations, in accordance with chapter 54 of the general statutes, to implement the provisions of sections 1 to 32, inclusive, of this act. Regulations adopted regarding the performance of notarial acts with respect to electronic records may not require, or accord greater legal status or effect to, the implementation or application of a specific technology or technical specification. The regulations may:

622 (1) Prescribe the manner of performing notarial acts regarding623 tangible and electronic records;

624 (2) Include provisions to ensure that any change to or tampering with625 a record bearing a certificate of a notarial act is self-evident;

(3) Include provisions to ensure integrity in the creation, transmittal,storage or authentication of electronic records or signatures;

(4) Prescribe the process of granting, renewing, conditioning,
denying, suspending or revoking a notary public appointment and
assuring the trustworthiness of an individual holding an appointment
as notary public;

(5) Include provisions to prevent fraud or mistake in the performanceof notarial acts; and

(6) Provide for the administration of the examination under
subsection (a) of section 22 of this act and the course of study under
subsection (b) of section 22 of this act.

(b) In adopting, amending or repealing regulations about notarial
acts with respect to electronic records, the Secretary of the State shall
consider, so far as is consistent with sections 1 to 32, inclusive, of this
act:

(1) The most recent standards regarding electronic records
promulgated by national bodies, such as the National Association of
Secretaries of State;

644 (2) Standards, practices and customs of other jurisdictions that
645 substantially enact the provisions of sections 1 to 32, inclusive, of this
646 act; and

(3) The views of governmental officials and entities and otherinterested persons.

649 Sec. 28. (NEW) (*Effective October 1, 2020*) An appointment as a notary 650 public in effect on October 1, 2020, continues until its date of expiration. 651 A notary public who applies to renew an appointment as a notary public 652 on or after October 1, 2020, is subject to and shall comply with the 653 provisions of sections 1 to 32, inclusive, of this act. A notary public, in 654 performing notarial acts after October 1, 2020, shall comply with the 655 provisions of sections 1 to 32, inclusive, of this act.

Sec. 29. (NEW) (*Effective October 1, 2020*) The provisions of sections 1
to 32, inclusive, of this act do not affect the validity or effect of a notarial
act performed before October 1, 2020.

659 Sec. 30. (NEW) (*Effective October 1, 2020*) In applying and construing 660 the provisions of sections 1 to 32, inclusive, of this act, consideration 661 shall be given to the need to promote uniformity of the law with respect to its subject matter among states that enact it.

663 Sec. 31. (NEW) (*Effective October 1, 2020*) Sections 1 to 32, inclusive, of 664 this act modify, limit and supersede the Electronic Signatures in Global 665 and National Commerce Act, 15 USC 7001 et seq., but do not modify, 666 limit or supersede Section 101(c) of that act, 15 USC 7001(c), or authorize 667 electronic delivery of any of the notices described in Section 103(b) of 668 that act, 15 USC 7003(b).

669 Sec. 32. Sections 1-28 to 1-41, inclusive, 1-57 to 1-65, inclusive, 3-94a

670 to 3-94q, inclusive, and 3-95 of the general statutes are repealed.

This act shall take effect as follows and shall amend the following

671 (*Effective October 1, 2020*)

sections:		
Section 1	October 1, 2020	New section
Sec. 2	October 1, 2020	New section
Sec. 3	October 1, 2020	New section
Sec. 4	October 1, 2020	New section
Sec. 5	October 1, 2020	New section
Sec. 6	October 1, 2020	New section
Sec. 7	October 1, 2020	New section
Sec. 8	October 1, 2020	New section
Sec. 9	October 1, 2020	New section
Sec. 10	October 1, 2020	New section
Sec. 11	October 1, 2020	New section
Sec. 12	October 1, 2020	New section
Sec. 13	October 1, 2020	New section
Sec. 14	October 1, 2020	New section
Sec. 15	October 1, 2020	New section
Sec. 16	October 1, 2020	New section
Sec. 17	October 1, 2020	New section
Sec. 18	October 1, 2020	New section
Sec. 19	October 1, 2020	New section
Sec. 20	October 1, 2020	New section
Sec. 21	October 1, 2020	New section
Sec. 22	October 1, 2020	New section
Sec. 23	October 1, 2020	New section
Sec. 24	October 1, 2020	New section

Sec. 25	October 1, 2020	New section
Sec. 26	October 1, 2020	New section
Sec. 27	October 1, 2020	New section
Sec. 28	October 1, 2020	New section
Sec. 29	October 1, 2020	New section
Sec. 30	October 1, 2020	New section
Sec. 31	October 1, 2020	New section
Sec. 32	October 1, 2020	Repealer section

## Statement of Purpose:

To adopt the Revised Uniform Law on Notarial Acts.

[Proposed deletions are enclosed in brackets. Proposed additions are indicated by underline, except that when the entire text of a bill or resolution or a section of a bill or resolution is new, it is not underlined.]